

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hahn et al. Group Art Unit: TBA
Serial No. : TBA Examiner: TBA
Filed : HEREWITH
For : REFERENCE CLONES AND SEQUENCES FOR NON-
SUBTYPE B ISOLATES OF HUMAN IMMUNODEFICIENCY
VIRUS TYPE 1

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

I hereby certify that the attached

1. Express Mail Certificate, including Express Mail Label No. TB608957514US
2. UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL
(1.53(b)) (2 copies)
3. 68 pages of specification; 1 page of Abstract, 5 pages of claims (claims 1-41); 1
cover page
4. Formal Drawings (Figures 1 - 22) (65 sheets)
5. Executed Combined Declaration and Power of Attorney (6 pages)
6. Executed Assignment (3 pages)
7. Recordation Form Coversheet Pursuant to 37 CFR § 3.31, including check for
\$40.00
8. Return Receipt Postcard

The Commissioner is hereby authorized to charge any additional fees which may be
required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No.
3532-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN

Dated: November 2, 1998

By: Mary J. Morry

Mary J. Morry
Registration No. 34,398

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New York, New York 10154
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(212) 751-6849 Facsimile

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PATENT

Docket No. 3532-4000

Express Mail Label No. TB608957514US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and
Address(es):

Beatrice H. Hahn, residing at 3571 Rockhill Road, Birmingham, AL 35223; George M. Shaw, residing at 3571 Rockhill Road, Birmingham, AL 35223; and Feng Gao, residing at 2308 Mountain Oaks Lane, Hoover, AL 35226

For: REFERENCE CLONES AND SEQUENCES FOR NON-SUBTYPE B ISOLATES OF
HUMAN IMMUNODEFICIENCY VIRUS TYPE 1

Enclosed are:

☒ 68 page(s) of specification, 1 page(s) of Abstract, 5 page(s) of claims, 1 cover sheet

☒ 65 sheets of drawing ☒ formal ☐ informal

☒ 6 page(s) of Declaration and Power of Attorney

☐ Unsigned

☒ Newly Executed

☐ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☒ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

☐ _____ page(s) of Sequence Listing

☐ computer readable disk containing Sequence Listing

☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

- ☐ Claim for Priority
- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☐ Information Disclosure Statement
- ☐ Copy of ____ cited references
- ☐ Copy of PTO-1449 filed in parent application serial No. _____.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☒ A check in the amount of \$40.00 for recording the Assignment.
- ☐ Assignment papers filed in parent application Serial No. _____.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. _____.
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. _____ filed _____.

00154418 110209
 000001 87448700

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee
					\$ 790.00
Total Claims	168	-20=	148	x\$22.00	\$3256.00
Independent Claims	4	-3=	1	x82.00	\$ 82.00
Multiple Dependent Claims					
	[x] yes		Additional Fee =	\$270.00	\$ 270.00
	[] no		Add'l Fee =	NONE	

Total: \$ 4,398.00

- [] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.
- [] A check in the amount of \$ _____ in payment of the application filing fees is attached.
- [X] Charge Fee(s) of \$4,398 to Deposit Account No. 13-4500. Order No. 3532-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3532-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By: Mary J. MorryMary J. Morry
Registration No. 34,398Dated: November 2, 1998

CORRESPONDENCE ADDRESS:

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FORM: UTL-TRAN.NY
Rev. 5/21/98

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EXPRESS MAIL CERTIFICATE

Express Mail Label No. TB608957514US

Date of Deposit November 2, 1998

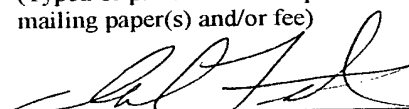
I hereby certify that the following attached paper(s) and/or fee

1. Transmittal Letter (2 copies)
2. UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b)) (2 copies)
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Israel Fuentes

(Typed or printed name of person
mailing paper(s) and/or fee)



(Signature of person mailing
paper(s) and/or fee)

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Rev. 05/27/98